# Soil Mechanics

# Introduction of soil mechanics

Dr Jasim M Abbas Al Shamary

SEVENTH EDITION



#### PRINCIPLES OF GEOTECHNICAL ENGINEERING





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SEVENTH EDITION









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# **Principles of soil mechanics**

WHY SOIL MECHANICS AND FOUNDATIONS?

- The <u>stability</u> and life of any structure, e.g., buildings, airports, roads, dams, levees, natural slopes, power plants, etc., depend on the <u>stability</u>, strength and deformation of soils.
- If the soil fails, structures founded on or within it will fail or be impaired, regardless of how well the structures are designed.
- Soil is the oldest and the most complex engineering material.
- Our ancestors used soils as a construction material to build burial sites, flood protection and shelters.
- Western civilization credits the Romans for recognizing the importance of soils in the stability of structure. Roman engineers, especially Vitruvius, who served during the reign of Emperor Augustus in the first century BC, paid great attention to the soil types (sand, gravel, etc.) and to the design and construction of solid foundations. There were no theoretical bases for design, therefore experience from trial and error was relied upon.



Coulomb (1773) is credited as the first person to use mechanics to solve soil problems. He was a member of the French Royal engineers, who were interested in protecting old fortresses that fell easily from cannon fire. To protect the fortresses from artillery attack, sloping masses of soil were placed in front of them. The enemy had to tunnel below the soil mass and the fortress to attack. Of course, the enemy then became an easy target. The mass of soil applies a lateral force to the fortress that could topple it over or cause it to slide away from the soil mass. Coulomb attempted to determine the lateral force so that he could evaluate the stability of the fortress. He postulated that a wedge of soil ABC would fail along a slip plane BC and this wedge would push the wall out or over topple it as it moves down the slip plane.

Movement of the wedge along the slip plane would occur only if the soil resistance along the wedge were overcome. Coulomb assumed that the soil resistance is provided by friction between the particles and the problem now becomes one of a wedge sliding on a rough (frictional) plane, which you may have analyzed in your Physics or Mechanics course. Coulomb has tacitly defined a failure criterion for soils. Today, Coulomb's failure criterion and method of analysis still prevail. SOIL MECHANICS AND ITS APPLICATIONS TO FOUNDATIONS

- Soil mechanics, also called geotechnique or geotechnics, is the application of engineering mechanics to the solution of problems dealing with soils as a foundation and a construction material. Engineering mechanics is used to understand and interpret the properties, behavior, and performance of soils.
- Soil mechanics is a subset of geotechnical engineering, which involves the application of soil mechanics, geology and hydraulics to the analysis and design of geotechnical systems such as dams, embankments, tunnels, canals, and waterways, foundations for bridges, roads, buildings, etc. Every application of soil mechanics involves uncertainty because of the variability of soils and their compositions. Thus, engineering mechanics can provide only partial solutions to soil problems.
- Experience and approximate calculations are essential for the successful application of soil mechanics to practical problems. Many of the calculations in this textbook/CD are approximations.

#### INVOLVEMENT

Geotechnical engineers are involved in a variety of problems relating to the analysis and design of foundations, and the stability of earth structures such as retaining walls and slopes. These marvelous buildings would not exist,

These marvelous buildings would not exist, regardless of their structural soundness, if the foundations were not adequately designed.



Sears Tower (@ Bill Bachmann/Photo Researchers)

0



Empire State Building (@ Rafael Macia/Photo Researchers)



Taj Mahal ( Will & Deni McIntyre/Photo Researchers)

# You would be involved in the design of:

Landfill (Courtesy of Todd Mooney)

Hoover Dam (Courtesy of Bureau of Reclamation, U.S., Department of the Interior, Photo by E.E. Hertzog)





#### INVOLVEMENT

You would be involved in the design of transportation systems like these:



Interchange in Los Angeles (George Gerster/Photo Researchers)



Denver airport (Louis Goldman/Photo Researchers)



Panama Canal (Will & Deni McIntvre/Photo Researchers)

# You will have to try to avoid failures like these.

Collapse of a sewer system from seepage (Courtesy of George Tamaro)







#### FORMATION OF SOILS

SOILS are formed from the physical and chemical weathering of rocks



#### Some agents that cause changes in soils







## SOIL TYPES



#### CLAY MINERALS

CLAYS are composed of clay minerals. CLAY MINERALS are crystalline materials comprising OXYGEN and SILICA. The structural unit of silicates is a tetrahedron consisting of a silica cation (+charged) surrounded by four oxygen (-charged). Silicates combine to form sheets.



#### CLAYS MINERALS (cont'd)

Silicate sheets may contain other structural units such as alumina sheets. Alumina sheets are formed by combination of alumina minerals, which consist of an aluminum ion surrounded by six oxygen of hydroxyl atoms in an octahedron.



#### CLAY MINERALS (cont'd)

Minerals are crystalline materials. The The main clay mineral groups are **kaolinite, illite** and montmorillonite.

**Kaolinite** has a structure that consists of one silica sheet and one alumina sheet bonded together into a layer about 0.72nm thick and stacked repeatedly. The layers are held together by hydrogen bonds. Kaolinite is common in clays in humid tropical regions.

**Illite** consists of repeated layers of one alumina sheet sandwiched by two silicate sheets. The layers, each of thickness 0.96nm, are held together by potassium ions.

Montmorillonite has a similar structure to illite, but the layers are held together by weak van der Waals forces and exchangeable ions. Water can easily enter the bond and separate the layers resulting in swelling. Montmorillonite is often called a **swelling or expansive** clay.



Observe the structure of kaolinite. illite and montmorillonite

#### SURFACE FORCES AND ADSORBED WATER

The surface charges on fine-grained soils are negative (anions). These negative surface charges attract cations and the positively charged side of water molecules from surrounding water. Consequently, a thin film or layer of water, called adsorbed water, is bonded to the mineral surfaces. The thin film or layer of water is known as the diffuse double layer. The largest concentration of cations occurs at the mineral surface and decreases exponentially with distance away from the surface.



#### SOIL FABRIC

During deposition, the mineral particles are arranged into structural frameworks that we call soil fabric. Each particle is in random contact with neighboring particles. The environment under which deposition occurs influences the structural framework that is formed. In particular, the electrochemical environment has the greatest influence on the kind of soil fabric that is formed during deposition. Any loading (tectonic or otherwise) during or after deposition permanently alters the structural arrangement in a way that is unique to that particular loading condition. Consequently, the history of loading and changes in the environment are imprinted in the soil fabric. The soil fabric is the brain; it retains the memory of the birth of the soil and subsequent changes that occur.



Flocculated structure (a) Salt environment



Flocculated structure (b) Fresh water environment



(c) Dispersed structure Soil fabric formed under salt and fresh water

# COMPARISON OF COARSE-GRAINED AND FINE-GRAINED SOILS FOR ENGINEERING USE

Coarse-grained soils

- good load-bearing capacities
- good drainage qualities
- not significantly affected by moisture changes
- engineering properties controlled by grain size and their structural arrangements

Fine-grained soils

- poor load-bearing capacities
- poor drainage qualities; practically impermeable
- change volume and strength with moisture changes
- frost susceptible
- engineering properties controlled by mineralogical factors

#### ESSENTIAL POINTS

The essential points are:

1. Soils are derived from the weathering of rocks and are commonly described by textural terms such as gravels, sands, silts and clays.

2. Particle size is used to distinguish various soil textures.

3. Clays are composed of three main types of minerals - kaolinite, illite and montmorillonite.

4. The clay minerals consist of silica and alumina sheets that are combined to form layers. The bonds between the layers play a very important role in the mechanical behavior of clays. The bond between the layers in montmorillonite is very weak compared with kaolinite and illite. Water can easily enter between the layers in montmorillonite causing swelling.

5. The engineering properties of coarse-grained soils depend mainly on the grain size and the structural arrangement of the particles.

6. The engineering properties of fine-grained soils depend mainly on mineralogical factors.

7. A thin layer of water is bonded to the mineral surfaces of soils and has significant influences on the physical and mechanical characteristics of fine-grained soils.

8. Fine-grained soils have much larger surface areas than coarse-grained soils and are responsible for the major physical and mechanical differences between coarse-grained and fine-grained soils.

#### WHY A SOIL INVESTIGATION?

A soil investigation is necessary to provide information for design and construction, and for environmental assessment. The purposes of a soil investigation are:

1. To evaluate the general suitability of the site for the proposed project.

2. To enable an adequate and economical design to be made.

3. To disclose and make provision for difficulties that may arise during construction due to ground and other local conditions.

The scope of a soil investigation depends on the type, size and importance of the structure, the client, the engineer's familiarity with the soils at the site and local building codes. Structures that are sensitive to settlement such as machine foundations, high use buildings, etc. usually require a thorough soil investigation compared to a foundation for a house. A client may wish to take a greater risk than normal to save money and set limits on the type and extent of the site investigation. If the geotechnical engineer is familiar with a site, he/she may undertake a very simple soil investigation to confirm his/her experience. Some local building codes have provisions that set out the extent of a site investigation. Regardless of the thoroughness of a site investigation, it is mandatory that a site visit be made to the proposed site.

## PHASES OF A SOIL INVESTIGATION PROGRAM

Phase I - Collection of available information such as a site plan, type, size and importance of the structure, loading conditions, previous geotechnical reports, topographic maps, air photographs, geologic maps, newspaper clippings, etc.

Phase II - Preliminary reconnaissance or a site visit to provide a general picture of the topography and geology of the site. It is necessary that you take with you on the site visit all the information gathered in Phase I to compare with the current conditions of the site.

Phase III - Detailed soil exploration. The objectives of a detailed soil exploration are: 1. To determine the geological structure which should include the thickness, sequence and extent of the soil strata.

- 2. To determine the ground water conditions.
- 3. To obtain disturbed and undisturbed samples for laboratory tests.
- 4. To conduct in situ tests.

## ESSENTIAL POINTS

The essential points are:

1. A site investigation is necessary to determine the nature of the soils at a proposed site for design and construction.

2. A soil investigation needs careful planning and is usually done in phases.

#### SOIL EXPLORATION PROGRAM

A soils exploration program usually involves test pits and/or soil borings (boreholes). During the site visit (Phase II) you should work out most of the soil exploration program. A detailed soil exploration consists of:

1. Preliminary location of each borehole and/or test pits.

- 2. Numbering of the boreholes or test pits.
- 3. Planned depth of each borehole, or test pit.
- 4. Methods and procedures for advancing the boreholes.

5. Sampling instructions for at least the first borehole. The sampling instructions must include the number of samples and possible locations. Changes in the sampling instructions often occur after the first borehole.

6. Requirements for groundwater observations.

#### SOIL EXPLORATION METHODS

Access to the soil may be obtained by the following methods.

- 1. Trial pits or test pits.
- 2. Hand or powered augers.
- 3. Wash boring.
- 4. Rotary rigs.







# **ESSENTIAL POINTS**

The essential points are:

1. A site investigation is necessary to determine the nature of the soils at a proposed site for design and construction.

2. A soil investigation needs careful planning and is usually done in phases.

3. A number of tools are available for soil exploration. You need to use judgment as to the type appropriate for a given project.

# Soil Mechanics

Introduction of soil mechanics - Part 2

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# **Geotechnical Engineering**

For engineering purposes, **soil** is defined as the **uncemented** aggregate of mineral grains and decayed organic matter (solid particles) with liquid and gas in the empty spaces between the solid particles.



# Origin of Soil and Grain Size

# Rock Cycle and the Origin of Soil



### Soil-Particle Size

#### Table 2.3 Particle-Size Classifications

	Grain size (mm)			
Name of organization	Gravel	Sand	Silt	Clay
Massachusetts Institute of Technology (MIT)	>2	2 to 0.06	0.06 to 0.002	< 0.002
U.S. Department of Agriculture (USDA)	>2	2 to 0.05	0.05 to 0.002	< 0.002
American Association of State Highway and Transportation Officials (AASHTO)	76.2 to 2	2 to 0.075	0.075 to 0.002	< 0.002
Unified Soil Classification System (U.S. Army Corps of Engineers, U.S. Bureau of Reclamation, and American Society for Testing and Materials)	76.2 to 4.75	4.75 to 0.075	Fines (i.e., silts and clays) <0.075	

*Note:* Sieve openings of 4.75 mm are found on a U.S. No. 4 sieve; 2-mm openings on a U.S. No. 10 sieve; 0.075-mm openings on a U.S. No. 200 sieve. See Table 2.5.



Figure 2.7 Soil-separate-size limits by various systems

# **Clay Minerals**



Figure 2.10 (a) Silica tetrahedron; (b) silica sheet; (c) alumina octahedron; (d) octahedral (gibbsite) sheet; (e) elemental silica-gibbsite sheet (*After Grim, 1959. With permission from ASCE.*)

## **Clay Minerals**



Figure 2.12 Diagram of the structures of (a) kaolinite; (b) illite; (c) montmorillonite

# Specific Gravity (G<sub>s</sub>)

*Specific gravity* is defined as the ratio of the unit weight of a given material to the unit weight of water.

Table 2.4 Specific Gravity of Common Minerals		
Mineral	Specific gravity, G <sub>s</sub>	
Quartz	2.65	
Kaolinite	2.6	
Illite	2.8	
Montmorillonite	2.65-2.80	
Halloysite	2.0-2.55	
Potassium feldspar	2.57	
Sodium and calcium feldspar	2.62-2.76	
Chlorite	2.6-2.9	
Biotite	2.8-3.2	
Muscovite	2.76-3.1	
Hornblende	3.0-3.47	
Limonite	3.6-4.0	
Olivine	3.27-3.7	

## **Mechanical Analysis of Soil**

#### Sieve Analysis

Sieve analysis consists of shaking the soil sample through a set of sieves that have progressively smaller openings

Sieve no.	Opening (mm)	Sieve no.	Opening (mm)
4	4.75	35	0.500
5	4.00	40	0.425
6	3.35	50	0.355
7	2.80	60	0.250
8	2.36	70	0.212
10	2.00	80	0.180
12	1.70	100	0.150
14	1.40	120	0.125
16	1.18	140	0.106
18	1.00	170	0.090
20	0.850	200	0.075
25	0.710	270	0.053
30	0.600		

Table 2.5 U.S. Standard Sieve Sizes

# **Mechanical Analysis of Soil**

Sieve Analysis



### Mechanical Analysis of Soil

Hydrometer Analysis

Hydrometer analysis is based on the principle of sedimentation of soil grains in water.

$$v = \frac{\rho_s - \rho_w}{18\eta} D^2$$

where v = velocity

- $\rho_s$  = density of soil particles
- $\rho_w$  = density of water
- $\eta =$  viscosity of water
- D = diameter of soil particles
## Particle-Size Distribution Curve

A particle-size distribution curve can be used to determine the following four parameters for a given soil (Figure 2.26):

- 1. Effective size  $(D_{10})$ : This parameter is the diameter in the particle-size distribution curve corresponding to 10% finer. The effective size of a granular soil is a good measure to estimate the hydraulic conductivity and drainage through soil.
- 2. Uniformity coefficient  $(C_u)$ : This parameter is defined as

$$C_u = \frac{D_{60}}{D_{10}}$$

where  $D_{60}$  = diameter corresponding to 60% finer. 3. *Coefficient of gradation* ( $C_c$ ): This parameter is defined as

$$C_c = \frac{D_{30}^2}{D_{60} \times D_{10}}$$

## Particle-Size Distribution Curve



*Figure 2.26* Definition of  $D_{75}$ ,  $D_{60}$ ,  $D_{30}$ ,  $D_{25}$ , and  $D_{10}$ 

## Particle-Size Distribution Curve

4. Sorting coefficient  $(S_0)$ : This parameter is another measure of uniformity and is generally encountered in geologic works and expressed as

$$S_0 = \sqrt{\frac{D_{75}}{D_{25}}}$$

Size (mm)	Percent finer
76.2 4.75 0.075	$ \begin{array}{c} 100 \\ 100 \\ 100 \\ 62 \\ 0 \\ \end{array} \begin{array}{c} 100 \\ 100 \\ 62 \\ 62 \\ 62 \\ 62 \\ 62 \\ 62 \\ 62 \\ 62$

## Example 2.1

Following are the results of a sieve analysis. Make the necessary calculations and draw a particle-size distribution curve.

U.S. sieve no.	Mass of soil retained on each sieve (g)
4	0
10	40
20	60
40	89
60	140
80	122
100	210
200	56
Pan	12

## Solution

The following table can now be prepared.

U.S. sieve (1)	Opening (mm) (2)	Mass retained on each sieve (g) (3)	Cumulative mass retained above each sieve (g) (4)	Percent finer* (5)
4	4.75	0	0	100
10	2.00	40	0 + 40 = 40	94.5
20	0.850	60	40 + 60 = 100	86.3
40	0.425	89	100 + 89 = 189	74.1
60	0.250	140	189 + 140 = 329	54.9
80	0.180	122	329 + 122 = 451	38.1
100	0.150	210	451 + 210 = 661	9.3
200	0.075	56	661 + 56 = 717	1.7
Pan	-	12	$717 + 12 = 729 = \Sigma M$	0
$\frac{a}{\sum M - \text{col. 4}}{\sum M}$	$\times 100 = \frac{729}{2}$	$\frac{-\operatorname{col.} 4}{729} \times 100$		



The particle-size distribution curve is shown in Figure 2.28.

Figure 2.28 Particle-size distribution curve

## Example 2.2

For the particle-size distribution curve shown in Figure 2.28 determine

a. D<sub>10</sub>, D<sub>30</sub>, and D<sub>60</sub>
b. Uniformity coefficient, C<sub>u</sub>
c. Coefficient of gradation, C<sub>z</sub>

#### Solution

Part a

From Figure 2.28,

 $D_{10} = 0.15 \text{ mm}$  $D_{30} = 0.17 \text{ mm}$  $D_{60} = 0.27 \text{ mm}$ 

Part b

$$C_u = \frac{D_{60}}{D_{10}} = \frac{0.27}{0.15} = 1.8$$
  
$$C_z = \frac{D_{30}^2}{D_{60} \times D_{10}} = \frac{(0.17)^2}{(0.27)(0.15)} = 0.71$$

## Example 2.3

For the particle-size distribution curve shown in Figure 2.28, determine the percentages of gravel, sand, silt, and clay-size particles present. Use the Unified Soil Classification System.

## Solution

From Figure 2.28, we can prepare the following table.

Size (mm)	Percent finer
76.2	100 - 100 - 0% gravel
4.75	100 - 100 = 0% gravel
0.075	1.7   100 - 1.7 = 98.3% sand
-	1.7 - 0 = 1.7% silt and clay

## **Particle Shape**

- 1. Bulky
- 2. Flaky
- 3. Needle shaped

formed mostly by mechanical weathering of rock and minerals.

Angular







*Flaky particles* have very low sphericity—usually 0.01 or less. These particles are **punded** predominantly clay minerals.

*Needle-shaped particles* are much less common than the other two particle types. Examples of soils containing needle-shaped particles are some coral deposits and atta- **9** Shape of bulky particles pulgite clays.

# Soil Mechanics

## Weight – Volume Relationship

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## Weight–Volume Relationships



*Figure 3.1* (a) Soil element in natural state; (b) three phases of the soil element

$$e = \frac{V_v}{V_s}$$
 Void ratio  $S = \frac{V_w}{V_v}$  degree of saturation  $n = \frac{e}{1+e}$  porosity

$$w = \frac{W_w}{W_s}$$

$$\gamma = \frac{W}{V} \qquad Unit weight$$

$$\gamma_d = \frac{W_s}{V}$$
  $\gamma_d = \frac{\gamma}{1+w}$  dry unit weight

$$\rho = \frac{M}{V}$$

and

$$\rho_d = \frac{M_s}{V}$$

where 
$$\rho$$
 = density of soil (kg/m<sup>3</sup>)  
 $\rho_d$  = dry density of soil (kg/m<sup>3</sup>)  
 $M$  = total mass of the soil sample (kg)  
 $M_s$  = mass of soil solids in the sample (kg)



Figure 3.2 Three separate phases of a soil element with volume of soil solids equal to one

$$W_s = G_s \gamma_w$$
$$W_w = wW_s = wG_s \gamma_w$$

where  $G_s$  = specific gravity of soil solids w = moisture content  $\gamma_w$  = unit weight of water

$$\gamma = \frac{W}{V} = \frac{W_s + W_w}{V} = \frac{G_s \gamma_w + wG_s \gamma_w}{1 + e} = \frac{(1 + w)G_s \gamma_w}{1 + e} \qquad \gamma_d = \frac{W_s}{V} = \frac{G_s \gamma_w}{1 + e} \qquad e = \frac{G_s \gamma_w}{\gamma_d} - 1$$
$$V_w = \frac{W_w}{\gamma_w} = \frac{wG_s \gamma_w}{\gamma_w} = wG_s \qquad Se = wG_s$$
$$\gamma_{sat} = \frac{W}{V} = \frac{W_s + W_w}{V} = \frac{G_s \gamma_w + e\gamma_w}{1 + e} = \frac{(G_s + e)\gamma_w}{1 + e} \qquad e = wG_s$$



### Figure 3.3

Saturated soil element with volume of soil solids equal to one



$$w = \frac{W_w}{W_s} = \frac{(\text{mass of water}) \cdot g}{(\text{mass of solid}) \cdot g}$$
$$= \frac{M_w}{M_s}$$

where  $M_w = \text{mass of water.}$ 

$$M_w = wM_s = wG_s\rho_w$$

$$\rho = \frac{M}{V} = \frac{M_s + M_w}{V_s + V_v} = \frac{G_s \rho_w + w G_s \rho_w}{1 + e}$$
$$= \frac{(1 + w) G_s \rho_w}{1 + e}$$



#### Figure 3.5

Soil element with total volume equal to one

$$W_s = G_s \gamma_w (1 - n)$$
$$W_w = w W_s = w G_s \gamma_w (1 - n)$$
$$\gamma_d = \frac{W_s}{V} = \frac{G_s \gamma_w (1 - n)}{1} = G_s \gamma_w (1 - n)$$

$$\gamma = \frac{W_s + W_w}{V} = G_s \gamma_w (1 - n)(1 + w)$$

$$\gamma_{\text{sat}} = \frac{W_s + W_w}{V} = \frac{(1 - n)G_s\gamma_w + n\gamma_w}{1} = [(1 - n)G_s + n]\gamma_w$$

$$w = \frac{W_w}{W_s} = \frac{n\gamma_w}{(1-n)\gamma_w G_s} = \frac{n}{(1-n)G_s}$$

\*\*\*



Figure 3.6 Saturated soil element with total volume equal to one

Мо	ist unit weight ( <b>y</b> )	Dry un	it weight (γ <sub>d</sub> )	Satura	ted unit weight (γ <sub>sat</sub> )
Given	Relationship	Given	Relationship	Given	Relationship
w, G <sub>s</sub> , e	$\frac{(1+w)G_s\gamma_w}{1+e}$	$\gamma, w$	$\frac{\gamma}{1+w}$	$G_s, e$	$\frac{(G_s+e)\gamma_w}{1+e}$
$S, G_s, e$	$\frac{(G_s + Se)\gamma_w}{1 + e}$	$G_s, e$	$\frac{G_s \gamma_w}{1+e}$	<u>.</u>	$[(1-n)G_s+n]\gamma_w$
	$(1+w)G_s\gamma_w$	$G_s, n$	$G_s \gamma_w (1-n)$	$G_s, w_{\rm sat}$	$\left(rac{1+w_{ ext{sat}}}{1+w_{ ext{sat}}G_s} ight)G_s\gamma_w$
$w, G_s, S$	$\frac{(1+w)G_s\gamma_w}{1+\frac{wG_s}{S}}$	$G_s, w, S$	$\frac{G_s \gamma_w}{1 + \left(\frac{wG_s}{s}\right)}$	$e, w_{\rm sat}$	$\left(\frac{e}{w_{\rm sat}}\right)\left(\frac{1+w_{\rm sat}}{1+e}\right)\gamma_w$
-	$G_s \gamma_w (1-n)(1+w)$ $G_s \gamma_w (1-n) + nS \gamma_w$		$\frac{eS\gamma_w}{(1+e)w}$	$n, w_{\rm sat}$	$n\left(rac{1+w_{\mathrm{sat}}}{w_{\mathrm{sat}}} ight)\gamma_w$
			(1 + e)w $\gamma_{\text{sat}} - \frac{e\gamma_w}{1 + e}$	$\gamma_d, e$	$\gamma_d + \left(rac{e}{1+e} ight)\gamma_w$
			1 + e $\gamma_{\rm sat} - n\gamma_w$		$\gamma_d + n\gamma_w$
			$\frac{(\gamma_{\text{sat}} - \gamma_w)G_s}{(G_s - 1)}$	$\gamma_d, S$	$\left(1-rac{1}{G_s} ight)\gamma_d+\gamma_w$
		$7 \text{ sat}, \cup_{S}$	$(G_{s} - 1)$	$\gamma_d, w_{ m sat}$	$\gamma_d(1 + w_{\rm sat})$

Table 3.1	Various Forms of Relationships for $\gamma$ , $\gamma_d$ , and $\gamma_{sat}$
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Table 3.2 Void Ratio, Moisture Content, and Dry Unit Weight

for Some Typical Soils in a Natural State

		Natural moisture content in a	Dry unit weight, $\gamma_d$	
Type of soil	Void ratio, <i>e</i>	saturated state (%)	lb/ft <sup>3</sup>	kN/m³
Loose uniform sand	0.8	30	92	14.5
Dense uniform sand	0.45	16	115	18
Loose angular-grained silty sand	0.65	25	102	16
Dense angular-grained silty sand	0.4	15	121	19
Stiff clay	0.6	21	108	17
Soft clay	0.9-1.4	30-50	73-93	11.5-14.5
Loess	0.9	25	86	13.5
Soft organic clay	2.5-3.2	90-120	38-51	6-8
Glacial till	0.3	10	134	21

## Example 3.2

For a moist soil sample, the following are given.

- Total volume:  $V = 1.2 \text{ m}^3$
- Total mass: M = 2350 kg
- Moisture content: w = 8.6%
- Specific gravity of soil solids:  $G_s = 2.71$

Determine the following.

- a. Moist density
- **b.** Dry density
- **c.** Void ratio
- d. Porosity
- e. Degree of saturation
- f. Volume of water in the soil sample

#### Solution

**Part a** From Eq. (3.13),

$$\rho = \frac{M}{V} = \frac{2350}{1.2} = 1958.3 \text{ kg/m}^3$$

Part b From Eq. (3.14),

$$\rho_d = \frac{M_s}{V} = \frac{M}{(1+w)V} = \frac{2350}{\left(1 + \frac{8.6}{100}\right)(1.2)} = 1803.3 \text{ kg/m}^3$$

#### **Part c** From Eq. (3.22),

$$\rho_d = \frac{G_s \rho_w}{1+e}$$

$$e = \frac{G_s \rho_w}{\rho_d} - 1 = \frac{(2.71)(1000)}{1803.3} - 1 = 0.503$$

Part d From Eq. (3.7),

$$n = \frac{e}{1+e} = \frac{0.503}{1+0.503} = 0.335$$

Part e From Eq. (3.18),

$$S = \frac{wG_s}{e} = \frac{\left(\frac{8.6}{100}\right)(2.71)}{0.503} = 0.463 = 46.3\%$$

Part f

Volume of water:

$$\frac{M_w}{\rho_w} = \frac{M - M_s}{\rho_w} = \frac{M - \frac{M}{1 + w}}{\rho_w} = \frac{2350 - \left(\frac{2350}{1 + \frac{8.6}{100}}\right)}{1000} = 0.186 \text{ m}^3$$

#### **Alternate Solution**

Refer to Figure 3.7.

Part a



Part b

$$M_{s} = \frac{M}{1+w} = \frac{2350}{1+\frac{8.6}{100}} = 2163.9 \text{ kg}$$

$$\rho_{d} = \frac{M_{s}}{V} = \frac{M}{(1+w)V} = \frac{2350}{\left(1+\frac{8.6}{100}\right)(1.2)} = 1803.3 \text{ kg/m}^{3}$$

Part c

The volume of solids: 
$$\frac{M_s}{G_s \rho_w} = \frac{2163.9}{(2.71)(1000)} = 0.798 \text{ m}^3$$

The volume of voids:  $V_v = V - V_s = 1.2 - 0.798 = 0.402 \text{ m}^3$ 

Void ratio: 
$$e = \frac{V_v}{V_s} = \frac{0.402}{0.798} = 0.503$$

Part d

Porosity: 
$$n = \frac{V_v}{V} = \frac{0.402}{1.2} = 0.335$$

Part e

$$S = \frac{V_w}{V_v}$$
  
Volume of water:  $V_w = \frac{M_w}{\rho_w} = \frac{186.1}{1000} = 0.186 \text{ m}^3$ 

Hence,

$$S = \frac{0.186}{0.402} = 0.463 = \mathbf{46.3\%}$$

Part f From Part e,

$$V_w = 0.186 \text{ m}^3$$

## **Relative Density**

$$D_r = \frac{e_{\max} - e}{e_{\max} - e_{\min}}$$

where  $D_r$  = relative density, usually given as a percentage  $e = in \, situ$  void ratio of the soil  $e_{max}$  = void ratio of the soil in the loosest state  $e_{min}$  = void ratio of the soil in the densest state

$$e_{\max} = \frac{n_{\max}}{1 - n_{\max}}$$
$$e_{\min} = \frac{n_{\min}}{1 - n_{\min}}$$

## Table 3.3 Qualitative Description of Granular Soil Deposits

Relative density (%)	Description of soil deposit
0–15	Very loose
15-50	Loose
50-70	Medium
70-85	Dense
85-100	Very dense

## Example 3.5

For a given sandy soil,  $e_{\text{max}} = 0.75$  and  $e_{\text{min}} = 0.4$ . Let  $G_s = 2.68$ . In the field, the soil is compacted to a moist density of 112 lb/f<sup>3</sup> at a moisture content of 12%. Determine the relative density of compaction.

#### Solution

From Eq. (3.21),

$$\rho = \frac{(1+w)G_s\gamma_w}{1+e}$$

or

$$e = \frac{G_s \gamma_w (1+w)}{\gamma} - 1 = \frac{(2.68)(62.4)(1+0.12)}{112} - 1 = 0.67$$

From Eq. (3.30),

$$D_r = \frac{e_{\max} - e}{e_{\max} - e_{\min}} = \frac{0.75 - 0.67}{0.75 - 0.4} = 0.229 = 22.9\%$$

## **Comments on e<sub>max</sub> and e<sub>min</sub>**

#### Depend on several factors, such as

- Grain size
- Grain shape
- Nature of the grain-size distribution curve
- Fine contents,  $F_c$  (that is, fraction smaller than 0.075 mm)

$$e_{\max} - e_{\min} = 0.23 + \frac{0.06}{D_{50} \,(\mathrm{mm})}$$

# Soil Mechanics

## **Plasticity and Structure of Soil**

Dr Jasim M Abbas Al Shamary

## **Plasticity and Structure of Soil**

The moisture content, in percent, at which the transition from solid to semisolid state takes place is defined as the *shrinkage limit*. The moisture content at the point of transition from semisolid to plastic state is the *plastic limit*, and from plastic to liquid state is the *liquid limit*.



Figure 4.1 Atterberg limits

## Liquid Limit (LL)







*Figure 4.2* Liquid limit test: (a) liquid limit device; (b) grooving tool; (c) soil pat before test; (d) soil pat after test

$$I_F = \frac{w_1 - w_2}{\log\left(\frac{N_2}{N_1}\right)}$$

where  $I_F$  = flow index  $w_1$  = moisture content of soil, in percent, corresponding to  $N_1$  blows  $w_2$  = moisture content corresponding to  $N_2$  blows

 $w = -I_F \log N + C$ 

where N = number of blows in the liquid limit device for a 12.7 mm (0.5 in.) groove closure  $w_N$  = corresponding moisture content

 $\tan \beta = 0.121$  (but note that  $\tan \beta$  is not equal to 0.121 for all soils)

where C = a constant.

$$LL = w_N \left(\frac{N}{25}\right)^{\tan \beta}$$







#### Figure 4.4

Photographs showing the soil pat in the liquid limit device: (a) before test; (b) after test [*Note*: The half-inch groove closure in (b) is marked for clarification] (*Courtesy of Braja M. Das, Henderson*,




#### Plastic Limit (PL)

As in the case of liquid limit determination, the fall cone method can be used to obtain the plastic limit. This can be achieved by using a cone of similar geometry but with a mass of 2.35 N (240 gf). Three to four tests at varying moisture contents of soil are conducted, and the corresponding cone penetrations (d) are determined. The moisture content corresponding to a cone penetration of d = 20 mm is the plastic limit. Figure 4.8 shows the liquid and plastic limit determination of Cambridge Gault clay reported by Wroth and Wood (1978).



Figure 4.7 Rolling of soil mass on ground glass plate to determine plastic limit (*Courtesy of Braja M. Das, Henderson, Nevada*)



#### Figure 4.8

Liquid and plastic limits for Cambridge Gault clay determined by fall cone test PI = LL - PL

plasticity index (PI)

#### Table 4.1 Typical Values of Liquid Limit, Plastic Limit, and Activity of Some Clay Minerals

Mineral	Liquid limit, <i>LL</i>	Plastic limit, PL	Activity, A
Kaolinite	35-100	20-40	0.3-0.5
Illite	60-120	35-60	0.5 - 1.2
Montmorillonite	100-900	50-100	1.5 - 7.0
Halloysite (hydrated)	50-70	40-60	0.1-0.2
Halloysite (dehydrated)	40-55	30-45	0.4-0.6
Attapulgite	150-250	100-125	0.4-1.3
Allophane	200-250	120-150	0.4-1.3

PI	Description		
0	Nonplastic		
1–5	Slightly plastic		
5-10	Low plasticity		
10-20	Medium plasticity		
20-40	High plasticity		
>40	Very high plasticity		

 $PI(\%) = 4.12I_F(\%)$ 

 $PI(\%) = 0.74I_{FC}(\%)$ 

PL = 0.04(LL) + 0.26(CF) + 10

PI = 0.96(LL) - 0.26(CF) - 10

where  $CF = \text{clay fraction} (\langle 2 \mu m \rangle)$  in %. The experimental results of Polidori (2007) show that the preceding relationships hold good for *CF* approximately equal to or greater than 30%.

#### Shrinkage Limit (SL)

$$SL = w_i(\%) - \Delta w(\%) \tag{4}$$

where  $w_i$  = initial moisture content when the soil is placed in the shrinkage limit dish  $\Delta w$  = change in moisture content (that is, between the initial moisture content and the moisture content at the shrinkage limit)

$$w_i(\%) = \frac{M_1 - M_2}{M_2} \times 100$$

where  $M_1$  = mass of the wet soil pat in the dish at the beginning of the test (g)  $M_2$  = mass of the dry soil pat (g) (see Figure 4.10)



Figure 4.9 Definition of shrinkage limit



Figure 4.10 Shrinkage limit test: (a) soil pat before drying; (b) soil pat after drying

Also,

$$\Delta w (\%) = \frac{(V_i - V_f)\rho_w}{M_2} \times 100$$

where  $V_i$  = initial volume of the wet soil pat (that is, inside volume of the dish, cm<sup>3</sup>)  $V_f$  = volume of the oven-dried soil pat (cm<sup>3</sup>)  $\rho_w$  = density of water (g/cm<sup>3</sup>)

$$SL = \left(\frac{M_1 - M_2}{M_2}\right)(100) - \left(\frac{V_i - V_f}{M_2}\right)(\rho_w)(100)$$

$$SR = \frac{\left(\frac{\Delta V}{V_f}\right)}{\left(\frac{\Delta M}{M_2}\right)} = \frac{\left(\frac{\Delta V}{V_f}\right)}{\left(\frac{\Delta V\rho_w}{M_2}\right)} = \frac{M_2}{V_f\rho_w}$$

where  $\Delta V =$  change in volume  $\Delta M =$  corresponding change in the mass of moisture

$$G_s = \frac{1}{\frac{1}{SR} - \left(\frac{SL}{100}\right)}$$

where  $G_s$  = specific gravity of soil solids.

#### Example 4.1

Following are the results of a shrinkage limit test:

- Initial volume of soil in a saturated state = 24.6 cm<sup>3</sup>
- Final volume of soil in a dry state =  $15.9 \text{ cm}^3$
- Initial mass in a saturated state = 44.0 g
- Final mass in a dry state = 30.1 g

Determine the shrinkage limit of the soil.

#### Solution

From Eq. (4.13),

$$SL = \left(\frac{M_1 - M_2}{M_2}\right)(100) - \left(\frac{V_i - V_f}{M_2}\right)(\rho_w)(100)$$
  

$$M_1 = 44.0g \qquad V_i = 24.6 \text{ cm}^3 \qquad \rho_w = 1 \text{ g/cm}^3$$
  

$$M_2 = 30.1g \qquad V_f = 15.9 \text{ cm}^3$$
  

$$SL = \left(\frac{44.0 - 30.1}{30.1}\right)(100) - \left(\frac{24.6 - 15.9}{30.1}\right)(1)(100)$$
  

$$= 46.18 - 28.9 = 17.28\%$$

Mineral	Shrinkage limit
Montmorillonite	8.5-15
Illite	15-17
Kaolinite	25-29

#### Liquidity Index and Consistency Index

$$LI = \frac{w - PL}{LL - PL}$$

where  $w = in \, situ$  moisture content of soil.

LI > 1 sensitive clay LI < 0 overconsolidated

 $CI = \frac{LL - w}{LL - PI}$  consistency index (CI).

where w = in situ moisture content. If w is equal to the liquid limit, the consistency index is zero. Again, if w = PI, then CI = 1.

#### **Plasticity Chart**

- ➤ The important feature of this chart is the empirical *A*-line that is given by the equation *PI* = 0.73(*LL* 20).
- An A-line separates the inorganic clays from the inorganic silts.
- Inorganic clay values lie above the A-line, and values for inorganic silts lie below the Aline.
- Organic silts plot in the same region (below the *A*-line and with *LL* ranging from 30 to 50) as the inorganic silts of medium compressibility.
- ➢ Organic clays plot in the same region as inorganic silts of high compressibility (below the *A*-line and *LL* greater than 50).



#### Soil Structure

Soil structure is defined as the geometric arrangement of soil particles with respect to one another. Among the many factors that affect the structure are the shape, size, and mineralogical composition of soil particles, and the nature and composition of soil water. In general, soils can be placed into two groups: cohesionless and cohesive. The structures found in soils in each group are described next.



Figure 4.18 Single-grained structure: (a) loose; (b) dense

#### Structures in Cohesionless Soil

The structures generally encountered in cohesionless soils can be divided into two major categories: *single grained* and *honeycombed*.



*Figure 4.19* Mode of packing of equal spheres (plan views): (a) very loose packing (e = 0.91); (b) very dense packing (e = 0.35)

#### Structures in Cohesive Soils

The negative charge on the surface of the clay particles and the diffuse double layer surrounding each particle. When two clay particles in suspension come close to each other, the tendency for interpenetration of the diffuse double layers results in repulsion between the particles.



Figure 4.20 Honeycombed structure

# Soil Mechanics

# **Classification of Soil**

Dr Jasim M Abbas Al Shamary

### **Textural Classification**

In a general sense, *texture* of soil refers to its surface appearance. Soil texture is influenced by the size of the individual particles present in it.

- Sand size: 2.0 to 0.05 mm in diameter
- Silt size: 0.05 to 0.002 mm in diameter

USDA system

• Clay size: smaller than 0.002 mm in diameter

Modified % sand = 
$$\frac{\% \text{ sand}}{100 - \% \text{ gravel}} \times 100$$
  
Modified % silt =  $\frac{\% \text{ silt}}{100 - \% \text{ gravel}} \times 100$   
Modified % clay =  $\frac{\% \text{ clay}}{100 - \% \text{ gravel}} \times 100$ 



Figure 5.1 U.S. Department of Agriculture textural classification

# Example 5.1

Classify the following soils according to the USDA textural classification system.

Particle-size	Soil					
distribution (%)	A	В	С	D		
Gravel	10	21	0	12		
Sand	20	12	18	22		
Silt	41	35	24	26		
Clay	29	32	58	40		

#### Solution

Step 1. Calculate the modified percentages of sand, gravel, and silt as follows:

Modified % sand = 
$$\frac{\% \text{ sand}}{100 - \% \text{ gravel}} \times 100$$
  
Modified % silt =  $\frac{\% \text{ silt}}{100 - \% \text{ gravel}} \times 100$   
Modified % clay =  $\frac{\% \text{ clay}}{100 - \% \text{ gravel}} \times 100$ 

Thus, the following table results:

Particle-size	Soil					
distribution (%)	A	В	С	D		
Sand	22.2	15.2	18	25		
Silt	45.6	44.3	24	29.5		
Clay	32.2	40.5	58	45.5		

Step 2. With the modified composition calculated, refer to Figure 5.1 to determine the zone into which each soil falls. The results are as follows:

Classification of soil						
А	В	С	D			
Gravelly clay loam	Gravelly silty clay	Clay	Gravelly clay			
<i>Note</i> : The word <i>gravelly</i> was added to the classification of soils <i>A</i> , <i>B</i> , and <i>D</i> because of the large percentage of gravel present in each.						

# **Classification by Engineering Behavior**

## **AASHTO Classification System**

Proposed by the Committee on Classification of Materials for Subgrades and Granular Type Roads of the Highway Research Board in 1945 (ASTM designation D-3282; AASHTO method M145).

This classification system is based on the following criteria:

- 1. Grain size
  - a. Gravel: fraction passing the 75-mm (3-in.) sieve and retained on the No. 10 (2-mm) U.S. sieve
  - b. Sand: fraction passing the No. 10 (2-mm) U.S. sieve and retained on the No. 200 (0.075-mm) U.S. sieve
  - c. Silt and clay: fraction passing the No. 200 U.S. sieve
- Plasticity: The term silty is applied when the fine fractions of the soil have a plasticity index of 10 or less. The term *clayey* is applied when the fine fractions have a plasticity index of 11 or more.
- If cobbles and *boulders* (size larger than 75 mm) are encountered, they are excluded from the portion of the soil sample from which classification is made. However, the percentage of such material is recorded.

General classification		(35		anular materi total sample p		200)	
	A-1			A-2			
Group classification	A-1-a	A-1-b	A-3	A-2-4	A-2-5	A-2-6	A-2-7
Sieve analysis							
(percentage passing)							
No. 10	50 max.						
No. 40	30 max.	50 max.	51 min.				
No. 200	15 max.	25 max.	10 max.	35 max.	35 max.	35 max.	35 max
Characteristics of fraction							
passing No. 40							
Liquid limit				40 max.	41 min.	40 max.	41 min.
Plasticity index	6 n	nax.	NP	10 max.	10 max.	11 min.	11 min.
Usual types of significant	Stone fragments,		Fine	Silty or clayey gravel and sand			nd
constituent materials	gravel, a	nd sand	sand				
General subgrade rating			Е	xcellent to goo	bd		
General class	sification		(mo	Si ore than 35% o	ilt-clay mate		o. 200)
							A-7 A-7-5
Group class	ification		A-4	A-!	>	A-6	A-7-6
Sieve analysis (percentage	passing)						
No. 10							
No. 40							
No. 200			36 min.	36 m	in.	36 min.	36 min
Characteristics of fraction	passing No. 40	)					
Liquid limit			40 max.	41 m	in.	40 max.	41 min
Plasticity index			10 max.	10 m	ax.	11 min.	11 min
Usual types of significant of	constituent ma	terials	5	Silty soils		Clayey	soils
General subgrade rating					Fair to poo	or	

#### Table 5.1 Classification of Highway Subgrade Materials

<sup>a</sup>For A-7-5,  $PI \le LL - 30$ <sup>b</sup>For A-7-6, PI > LL - 30



*Figure 5.2* Range of liquid limit and plasticity index for soils in groups A-2, A-4, A-5, A-6, and A-7

$$GI = (F_{200} - 35)[0.2 + 0.005(LL - 40)] + 0.01(F_{200} - 15)(PI - 10)$$
 group index

where  $F_{200}$  = percentage passing through the No. 200 sieve LL = liquid limit

- 1. If Eq. (GI) yields a negative value for GI, it is taken as 0.
- 2. The group index calculated from Eq. (*GI*) is rounded off to the nearest whole number (for example, GI = 3.4 is rounded off to 3; GI = 3.5 is rounded off to 4).
- 3. There is no upper limit for the group index.
- The group index of soils belonging to groups A-1-a, A-1-b, A-2-4, A-2-5, and A-3 is always 0.
- 5. When calculating the group index for soils that belong to groups A-2-6 and A-2-7, use the partial group index for *PI*, or

$$GI = 0.01(F_{200} - 15)(PI - 10)$$

#### Example 5.2

The results of the particle-size analysis of a soil are as follows:

- Percent passing the No. 10 sieve = 100
- Percent passing the No. 40 sieve = 80
- Percent passing the No. 200 sieve = 58

The liquid limit and plasticity index of the minus No. 40 fraction of the soil are 30 and 10, respectively. Classify the soil by the AASHTO system.

#### Solution

Using Table 5.1, since 58% of the soil is passing through the No. 200 sieve, it falls under silt-clay classifications—that is, it falls under group A-4, A-5, A-6, or A-7. Proceeding from left to right, it falls under group A-4. From Eq. (5.1)

$$GI = (F_{200} - 35)[0.2 + 0.005(LL - 40)] + 0.01(F_{200} - 15)(PI - 10)$$
  
= (58 - 35)[0.2 + 0.005(30 - 40)] + (0.01)(58 - 15)(10 - 10)  
= 3.45 \approx 3

So, the soil will be classified as A-4(3).

# **Classification by Engineering Behavior**

### **Unified Soil Classification System**

This system classifies soils into two broad categories:

- Coarse-grained soils that are gravelly and sandy in nature with less than 50% passing through the No. 200 sieve. The group symbols start with a prefix of G or S. G stands for gravel or gravelly soil, and S for sand or sandy soil.
- Fine-grained soils are with 50% or more passing through the No. 200 sieve. The group symbols start with prefixes of M, which stands for inorganic silt, C for inorganic clay, or O for organic silts and clays. The symbol Pt is used for peat, muck, and other highly organic soils.

Other symbols used for the classification are:

- W—well graded
- P—poorly graded
- L—low plasticity (liquid limit less than 50)
- H—high plasticity (liquid limit more than 50)

Criteria for assigning g	roup symbols			Group symbol
<b>Coarse-grained soils</b> More than 50% of retained on No. 200 <b>Gravels</b> More than 50% of 	More than 50%			GW GP
	•	GM GC		
	50% or more of coarse fraction passes No. 4			SW SP
			•	SM SC
	Silts and clays Liquid limit less than 50	Inorganic	PI > 7 and plots on or above "A" line (Figure 5.3) <sup>e</sup> PI < 4 or plots below "A" line (Figure 5.3) <sup>e</sup>	CL ML
		Organic	$\frac{\text{Liquid limit} - \text{oven dried}}{\text{Liquid limit} - \text{not dried}} < 0.75; \text{ see Figure 5.3; OL zone}$	OL
	Silts and clays	Inorganic	<i>PI</i> plots on or above " <i>A</i> " line (Figure 5.3) <i>PI</i> plots below " <i>A</i> " line (Figure 5.3)	CH MH
	Liquid limit 50 or more	Organic	$\frac{\text{Liquid limit} - \text{oven dried}}{\text{Liquid limit} - \text{not dried}} < 0.75; \text{ see Figure 5.3; OH zone}$	OH
Highly Organic Soils	Primarily organic n	natter, dark in color, and orga	anic odor	Pt

#### Table 5.2 Unified Soil Classification System (Based on Material Passing 76.2-mm Sieve)

<sup>a</sup>Gravels with 5 to 12% fine require dual symbols: GW-GM, GW-GC, GP-GM, GP-GC.

<sup>b</sup>Sands with 5 to 12% fines require dual symbols: SW-SM, SW-SC, SP-SM, SP-SC.

$$^{c}C_{u} = \frac{D_{60}}{D_{10}}; \quad C_{c} = \frac{(D_{30})^{2}}{D_{60} \times D_{10}}$$

<sup>*d*</sup>If  $4 \le PI \le 7$  and plots in the hatched area in Figure 5.3, use dual symbol GC-GM or SC-SM.

<sup>*e*</sup> If  $4 \le PI \le 7$  and plots in the hatched area in Figure 5.3, use dual symbol CL-ML.



Figure 5.3 Plasticity chart

For proper classification according to this system, some or all of the following information must be known:

- 1. Percent of gravel—that is, the fraction passing the 76.2-mm sieve and retained on the No. 4 sieve (4.75-mm opening)
- 2. Percent of sand—that is, the fraction passing the No. 4 sieve (4.75-mm opening) and retained on the No. 200 sieve (0.075-mm opening)
- 3. Percent of silt and clay—that is, the fraction finer than the No. 200 sieve (0.075-mm opening)
- 4. Uniformity coefficient  $(C_u)$  and the coefficient of gradation  $(C_c)$
- 5. Liquid limit and plasticity index of the portion of soil passing the No. 40 sieve

The group symbols for coarse-grained gravelly soils are GW, GP, GM, GC, GC-GM, GW-GM, GW-GC, GP-GM, and GP-GC. Similarly, the group symbols for fine-grained soils are CL, ML, OL, CH, MH, OH, CL-ML, and Pt.

one needs to remember that, in a given soil,

- Fine fraction = percent passing No. 200 sieve
- Coarse fraction = percent retained on No. 200 sieve
- Gravel fraction = percent retained on No. 4 sieve
- Sand fraction = (percent retained on No. 200 sieve) (percent retained on No. 4 sieve)






Figure 5.6



MIDDLETON PEAT



WAUPACA PEAT



PORTAGE PEAT

100 #



FOND DU LAC PEAT

#### Table 5.3 Properties of the Peats Shown in Figure 5.8

	Moisture content	Unit w	eight	Specific	Ash content
Source of peat	(%)	kN/m³	lb/ft <sup>3</sup>	gravity, <i>G</i> ₅	(%)
Middleton	510	9.1	57.9	1.41	12.0
Waupaca County	460	9.6	61.1	1.68	15.0
Portage	600	9.6	61.1	1.72	19.5
Fond du Lac County	240	10.2	64.9	1.94	39.8

Soil group in AASHTO	Compa	arable soil groups in Unified system		
system	Most probable	Possible	Possible but improbable	
A-1-a	GW, GP	SW, SP	GM, SM	
A-1-b	SW, SP, GM, SM	GP		
A-3	SP	_	SW, GP	
A-2-4	GM, SM	GC, SC	GW, GP, SW, SP	
A-2-5	GM, SM	_	GW, GP, SW, SP	
A-2-6	GC, SC	GM, SM	GW, GP, SW, SP	
A-2-7	GM, GC, SM, SC	_	GW, GP, SW, SP	
A-4	ML, OL	CL, SM, SC	GM, GC	
A-5	OH, MH, ML, OL	_	SM, GM	
A-6	CL	ML, OL, SC	GC, GM, SM	
A-7-5	OH, MH	ML, OL, CH	GM, SM, GC, SC	
A-7-6	CH, CL	ML, OL, SC	OH, MH, GC, GM, SM	

#### Table 5.4 Comparison of the AASHTO System with the Unified System\*

\* After Liu (1967)

*Source:* From A Review of Engineering Soil Classification Systems. In Highway Research Record 156, Highway Research Board, National Research Council, Washington, D.C., 1967, Table 5, p. 16. Reproduced with permission of the Transportation Research Board.

Soil group in Unified	Co	HTO system	
system	Most probable	Possible	Possible but improbable
GW	A-1-a	_	A-2-4, A-2-5, A-2-6, A-2-7
GP	A-1-a	A-1-b	A-3, A-2-4, A-2-5, A-2-6, A-2-7
GM	A-1-b, A-2-4, A-2-5, A-2-7	A-2-6	A-4, A-5, A-6, A-7-5, A-7-6, A-1-a
GC	A-2-6, A-2-7	A-2-4	A-4, A-6, A-7-6, A-7-5
SW	A-1-b	A-1-a	A-3, A-2-4, A-2-5, A-2-6, A-2-7
SP	A-3, A-1-b	A-1-a	A-2-4, A-2-5, A-2-6, A-2-7
SM	A-1-b, A-2-4, A-2-5, A-2-7	A-2-6, A-4	A-5, A-6, A-7-5, A-7-6, A-1-a
SC	A-2-6, A-2-7	A-2-4, A-6, A-4, A-7-6	A-7-5
ML	A-4, A-5	A-6, A-7-5, A-7-6	_
CL	A-6, A-7-6	A-4	_
OL	A-4, A-5	A-6, A-7-5, A-7-6	_
MH	A-7-5, A-5	_	A-7-6
CH	A-7-6	A-7-5	_
OH	A-7-5, A-5	_	A-7-6
Pt	_	_	_

Table 5.5 Comparison of the Unified System with the AASHTO System\*

\* After Liu (1967)

*Source:* From A Review of Engineering Soil Classification Systems. In Highway Research Record 156, Highway Research Board, National Research Council, Washington, D.C., 1967, Table 6, p. 17. Reproduced with permission of the Transportation Research Board.

# Example 5.5

Figure 5.7 gives the grain-size distribution of two soils. The liquid and plastic limits of minus No. 40 sieve fraction of the soil are as follows:

	Soil A	Soil B
Liquid limit	30	26
Plastic limit	22	20

Determine the group symbols and group names according to the Unified Soil Classification System.



#### Solution

#### Soil A

The grain-size distribution curve (Figure 5.7) indicates that percent passing No. 200 sieve is 8. According to Table 5.2, it is a coarse-grained soil. Also, from Figure 5.7, the percent retained on No. 4 sieve is zero. Hence, it is a sandy soil.

From Figure 5.7,  $D_{10} = 0.085$  mm,  $D_{30} = 0.12$  m, and  $D_{60} = 0.135$  mm. Thus,

$$C_u = \frac{D_{60}}{D_{10}} = \frac{0.135}{0.085} = 1.59 < 6$$
$$C_c = \frac{D_{30}^2}{D_{60} \times D_{10}} = \frac{(0.12)^2}{(0.135)(0.085)} = 1.25 > 1$$

With LL = 30 and PI = 30 - 22 = 8 (which is greater than 7), it plots above the A-line in Figure 5.3. Hence, the group symbol is **SP-SC**.

In order to determine the group name, we refer to Figure 5.4.

```
Percentage of gravel = 0 (which is < 15\%)
```

So, the group name is poorly graded sand with clay.

## Soil B

The grain-size distribution curve in Figure 5.7 shows that percent passing No. 200 sieve is 61 (>50%); hence, it is a fine-grained soil. Given: LL = 26 and PI = 26 - 20 = 6. In Figure 5.3, the *PI* plots in the hatched area. So, from Table 5.2, the group symbol is **CL-ML**.

For group name (assuming that the soil is inorganic), we go to Figure 5.5 and obtain Plus No. 200 sieve = 100 - 61 = 39 (which is greater than 30).

Percentage of gravel = 0; percentage of sand = 100 - 61 = 39

Thus, because the percentage of sand is greater than the percentage of gravel, the soil is **sandy silty clay**.

# Soil Mechanics

# **Soil Compaction**

Dr Jasim M Abbas Al Shamary

# General

In the construction of highway embankments, earth dams, and many other engineering structures, loose soils must be compacted to increase their unit weights. Compaction' increases the strength characteristics of soils, which increase the bearing capacity of foundations constructed over them. Compaction also decreases the amount of undesirable settlement of structures and increases the stability of slopes of embankments.

# **Principles**

Is the densification of soil by removal of air, which requires mechanical energy.



Figure 6.1 Principles of compaction

# Standard Proctor Test

For each test, the moist unit weight of compaction,  $\gamma$ , can be calculated as

$$\gamma = \frac{W}{V_{(m)}}$$

where W = weight of the compacted soil in the mold  $V_{(m)} =$  volume of the mold [944 cm<sup>3</sup> ( $\frac{1}{30}$  ft<sup>3</sup>)]

$$\gamma_d = \frac{\gamma}{1 + \frac{w(\%)}{100}}$$

where w(%) = percentage of moisture content.







$$\gamma_{zav} = \frac{G_s \gamma_w}{1 + wG_s} = \frac{\gamma_w}{w + \frac{1}{G_s}} \quad \text{where } \gamma_{zav} = \text{zero-air-void unit weight.}$$

To obtain the variation of  $\gamma_{zav}$  with moisture content, use the following procedure:

- 1. Determine the specific gravity of soil solids.
- 2. Know the unit weight of water  $(\gamma_w)$ .
- 3. Assume several values of w, such as 5%, 10%, 15%, and so on.
- 4. Use Eq. (6.4) to calculate  $\gamma_{zav}$  for various values of w.

#### **Factors Affecting Compaction**

**Soil Type**: Grain-size distribution, shape of the soil grains, specific gravity of soil solids, and amount and type of clay minerals





#### Figure 6.5

Various types of compaction curves encountered in soils <u>**Compaction Effort</u>**: The compaction energy per unit volume used for the standard Proctor test described in previous section can be given as:</u>





# **Modified Proctor Test**

$$\rho_{d(\max)} (\text{kg/m}^{3}) = [4,804,574G_{s} - 195.55(LL)^{2} + 156,971(\text{R#4})^{0.5} - 9,527,830]^{0.5}$$

$$\ln(w_{\text{opt}}) = 1.195 \times 10^{-4}(LL)^{2} - 1.964G_{s} - 6.617 \times 10^{-5}(\text{R#4}) + 7.651$$
where  $\rho_{d(\max)}$  = maximum dry density (kg/m<sup>3</sup>)  
 $w_{\text{opt}}$  = optimum moisture content(%)  
 $G_{s}$  = specific gravity of soil solids  
 $LL$  = liquid limit, in percent  
 $\text{R#4}$  = percent retained on No. 4 sieve  
 $w_{\text{opt}}(\%) = [1.95 - 0.38(\log CE)](PL)$   
 $\gamma_{d(\max)} (\text{kN/m}^{3}) = 22.68e^{-0.0183w_{\text{opl}}(\%)}$ 
where  $PL$  = plastic limit (%)  
 $CE$  = compaction energy (kN-m/m<sup>3</sup>)

For modified Proctor test,  $CE = 2700 \text{ kN/m}^3$ . Hence,

 $w_{\rm opt}(\%) \approx 0.65(PL)$ 

and

 $\gamma_{d(\text{max})}$  (kN/m<sup>3</sup>) = 22.68 $e^{-0.012(PL)}$ 

# Table 6.1Summary of Standard and Modified Proctor CompactionTest Specifications (ASTM D-698 and D-1557)

	Description	Method A	Method B	Method C
Physical data for the tests	Material	Passing No. 4 sieve	Passing 9.5 mm $(\frac{3}{8} \text{ in.})$ sieve	Passing 19 mm $(\frac{3}{4} \text{ in.})$ sieve
	Use	Used if 20% or less by weight of material is retained on No. 4 (4.75 mm) sieve	Used if more than 20% by weight of material is retained on No. 4 (4.75 mm) sieve and 20% or less by weight of material is retained on 9.5 mm ( $\frac{3}{8}$ in.) sieve	Used if more than 20% by weight of material is retained on 9.5 mm $(\frac{3}{8}$ in.) sieve and less than 30% by weight of material is retained on 19 mm $(\frac{3}{4}$ in.) sieve
	Mold volume	944 cm <sup>3</sup> ( $\frac{1}{30}$ ft <sup>3</sup> )	944 cm <sup>3</sup> ( $\frac{1}{30}$ ft <sup>3</sup> )	2124 cm <sup>3</sup> ( $\frac{1}{13.33}$ ft <sup>3</sup> )
	Mold diameter	101.6 mm (4 in.)	101.6 mm (4 in.)	152.4 mm (6 in.)
	Mold height	116.4 mm (4.584 in.)	116.4 mm (4.584 in.)	116.4 mm (4.584 in.)
Standard Proctor test	Weight of hammer	24.4 N (5.5 lb)	24.4 N (5.5 lb)	24.4 N (5.5 lb)
	Height of drop	305 mm (12 in.)	305 mm (12 in.)	305 mm (12 in.)
	Number of soil layers	3	3	3
	Number of blows/layer	25	25	56
Modified Proctor test	Weight of hammer	44.5 N (10 lb)	44.5 N (10 lb)	44.5 N (10 lb)
	Height of drop	457 mm (18 in.)	457 mm (18 in.)	457 mm (18 in.)
	Number of soil layers	5	5	5
	Number of blows/layer	25	25	56

# Example 6.1

The laboratory test results of a standard Proctor test are given in the following table.

Volume of mold (ft <sup>3</sup> )	Weight of moist soil in mold (lb)	Moisture content, <i>w</i> (%)
$\frac{1}{30}$	3.78	10
	4.01	12
$\frac{1}{30}$	4.14	14
$\frac{1}{30}$	4.12	16
$\frac{1}{30}$	4.01	18
$     \frac{1}{30}     \frac{1}{3}     \frac{1}{30}     \frac{1}{3}     \frac$	3.90	20

- a. Determine the maximum dry unit weight of compaction and the optimum moisture content.
- **b.** Calculate and plot  $\gamma_d$  versus the moisture content for degree of saturation, S = 80, 90, and 100% (i.e.,  $\gamma_{zav}$ ). Given:  $G_s = 2.7$ .

#### Solution

#### Part a

The following table can be prepared.

Volume of mold <i>V</i> (ft <sup>3</sup> )	Weight of soil <i>, W</i> (lb)	Moist unit weight, γ (lb/ft <sup>3</sup> )ª	Moisture content, <i>w</i> (%)	Dry unit weight, γ <sub>d</sub> (lb/ft <sup>3</sup> ) <sup>b</sup>
$\frac{1}{30}$	3.78	113.4	10	103.1
$\frac{1}{30}$	4.01	120.3	12	107.4
1 30	4.14	124.2	14	108.9
$\frac{1}{30}$	4.12	123.6	16	106.6
1 30	4.01	120.3	18	101.9
$ \frac{1}{30} \\ \frac{1}{30}$	3.90	117.0	20	97.5
$^{a}\gamma = \frac{W}{V}$				
$\gamma_d = \frac{\gamma}{1+\gamma}$	$\frac{w\%}{100}$			

The plot of  $\gamma_d$  versus *w* is shown at the bottom of Figure 6.8. From the plot, we see that the maximum dry unit weight,  $\gamma_{d(max)} = 109 \text{ lb/ft}^3$  and the optimum moisture content is **14.4**%.



Part b From Eq. (6.3),

$$\gamma_d = \frac{G_s \gamma_w}{1 + \frac{G_s w}{S}}$$

#### The following table can be prepared.

		$\gamma_d$ (lb/ft <sup>3</sup> )		
<b>G</b> <sub>s</sub>	w (%)	<i>S</i> = 80%	<i>S</i> = 90%	<i>S</i> = 100%
2.7	8	132.7	135.9	138.6
2.7	10	126.0	129.6	132.7
2.7	12	119.9	123.9	127.3
2.7	14	114.4	118.6	122.3
2.7	16	109.4	113.8	117.7
2.7	18	104.8	109.4	113.4
2.7	20	100.6	105.3	109.4

The plot of  $\gamma_d$  versus w for the various degrees of saturation is also shown in Figure 6.8.

- 1. Smooth-wheel rollers (or smooth-drum rollers)
- 2. Pneumatic rubber-tired rollers
- 3. Sheepsfoot rollers
- 4. Vibratory rollers



Figure 6.15 Smooth-wheel roller (Ingram Compaction LLC)



Figure 6.16 Pneumatic rubber-tired roller (Ingram Compaction LLC)



Figure 6.17 Sheepsfoot roller (SuperStock/Alamy)

# **Specifications for Field Compaction**

$$R(\%) = \frac{\gamma_{d(\text{field})}}{\gamma_{d(\text{max}-\text{lab})}} \times 100 \text{ where } R = \text{relative compaction}$$

90 to 95% of the maximum dry unit weight determined in the lab

$$D_r = \left[\frac{\gamma_{d(\text{field})} - \gamma_{d(\text{min})}}{\gamma_{d(\text{max})} - \gamma_{d(\text{min})}}\right] \left[\frac{\gamma_{d(\text{max})}}{\gamma_{d(\text{field})}}\right] \text{ relative density}$$

$$R = \frac{R_0}{1 - D_r(1 - R_0)} \qquad \qquad R_0 = \frac{\gamma_{d(\min)}}{\gamma_{d(\max)}}$$



Table 6.2 Requirements to Achieve R = 95 to 100% (based on standard Proctor maximum dry unit-weight)\*

		Requirements for compaction of 95 to 100% standard Proctor maximum dry unit weight					
Equipment type	Applicability	Compacted lift thickness	Passes or coverages	Dimensio	ons and weight o	f equipment	Possible variations in equipment
Sheepsfoot rollers	For fine-grained soils or dirty coarse-grained soils with more than 20% passing the No. 200 sieve. Not suitable for clean, coarse-grained soils. Particularly appropriate for compaction of impervious	150 mm (6 in.)	4 to 6 passes for fine- grained soil	<i>Soil type</i> Fine-grained soil, <i>PI</i> > 30	Foot contact area 30 to 80 cm <sup>2</sup> (5 to 12 in <sup>2</sup> )	Foot contact pressures 1700 to 3400 kN/m <sup>2</sup> (250 to 500 psi)	For earth dam, highway, and airfield work, a drum of 1.5 m (60-in.) diameter, loaded to 45 to 90 kN per linear meter (1.5 to 3 tons per linear ft) of drum is generally utilized. For smaller projects, a 1 m (40-in.)
	zone for earth dam or linings where bonding of lifts is important.			Fine-grained soil, <i>PI</i> < 30	45 to 90 cm <sup>2</sup> (7 to 14 in <sup>2</sup> )	1400 to 2800 kN/m <sup>2</sup> (200 to 400 psi)	diameter drum, loaded to 22 to 50 kN per linear meter (0.75 to 1.75 tons per linear ft) of
	Important		6 to 8 passes for coarse- grained soil	Coarse- grained soil	65 to 90 cm <sup>2</sup> (10 to 14 in <sup>2</sup> )	1000 to 1800 kN/m <sup>2</sup> (150 to 250 psi)	drum, is used. Foot contact pressure should be regulated to avoid shearing the soil on
				of the optimur	paction of soils on n requires less con ired by the same s ents.	ntact pressure	the third or fourth pass.
Rubber- tired rollers	For clean, coarse-grained soils with 4 to 8% passing the No. 200 sieve.	250 mm (10 in)	3 to 5 coverages	to 80 psi) for c course and sub	pressures of 400 to clean granular ma ograde compaction ,000 to 25,000 lb.	terial or base n. Wheel load, 80	A wide variety of rubber-tired compaction equipment is available. For cohesive soils, light-wheel loads, such as
	For fine-grained soils or well- graded, dirty, coarse-grained soils with more than 8% pass- ing the No. 200 sieve.	150 to 200 mm (6 to 8 in)	4 to 6 coverages	(65 psi) for fin For uniform cl	pressures in exces the grained soils of lean sands or silty as with pressures of 50 psi.)	high plasticity. fine sands, use	provided by wobble-wheel equipment, may be substituted for heavy-wheel loads if lift thickness is decreased. For cohesionless soils, large-size tires are desirable to avoid

shear and rutting.

Smooth- wheel rollers	Appropriate for subgrade or base course compaction of well-graded sand-gravel mixtures.	200 to 300 mm (8 to 12 in.)	4 coverages	Tandem-type rollers for base-course or sub-grade compaction, 90 to 135 kN (10 to 15 ton) weight, 53 to 88 kN per linear meter (300 to 500 lb per linear in.) of width of rear roller.	Three-wheel rollers are obtainable in a wide range of sizes. Two-wheel tandem rollers are available in the 9 to 180 kN (1- to 20-ton)
	May be used for fine- grained soils other than in earth dams. Not suitable for clean, well-graded sands or silty, uniform sands.	150 to 200 mm (6 to 8 in.)	6 coverages	Three-wheel roller for compaction of fine-grained soil; weights from 45 to 55 kN (5 to 6 tons) for materials of low plasticity to 90 kN (10 tons) for materials of high plasticity.	weight range. Three-axle tan- dem rollers are generally used in the 90 to 180 kN (10- to 20- ton) weight range. Very heavy rollers are used for proof rolling of subgrade or base course.
Vibrating baseplate compactors	For coarse-grained soils with less than about 12% passing the No. 200 sieve. Best suited for materials with 4 to 8% passing the No. 200 sieve, placed thoroughly wet.	200 to 250 mm (8 to 10 in.)	3 coverages	Single pads or plates should weigh no less than 0.9 kN (200 lb). May be used in tandem where working space is available. For clean, coarse-grained soil, vibration frequency should be no less than 1600 cycles per minute.	Vibrating pads or plates are available, hand-propelled or self-propelled, single or in gangs, with width of coverage from 0.45 to 4.5 m $(1\frac{1}{2})$ to 15 ft). Various types of vibrating-drum equipment should be considered for compaction in large areas.
Crawler tractor	Best suited for coarse- grained soils with less than 4 to 8% passing the No. 200 sieve, placed thoroughly wet.	250 to 300 mm (10 to 12 in.)	3 to 4 coverages	No smaller than D8 tractor with blade, 153 kN (34,500 lb) weight, for high compaction.	Tractor weights up to 265 kN (60,000 lb.)
Power tamper or rammer	For difficult access, trench backfill. Suitable for all inorganic soils.	100 to 150 mm (4 to 6 in.) for silt or clay; 150 mm (6 in.) for coarse- grained soils	2 coverages	130 N (30 lb) minimum weight. Considerable range is tolerable, depending on materials and conditions.	Weights up to 1.1 kN (250 lb); foot diameter, 100 to 250 mm (4 to 10 in.)

\* After U.S. Navy (1971). Published by U.S. Government Printing Office

#### **Determination of Field Unit Weight of Compaction**

- 1. Sand cone method
- 2. Rubber balloon method
- 3. Nuclear method

# Sand Cone Method

$$W_3 = \frac{W_2}{1 + \frac{w(\%)}{100}}$$

where w =moisture content.



$$W_5 = W_1 - W_4 \tag{6}$$

where  $W_5$  = weight of sand to fill the hole and cone.

The volume of the excavated hole can then be determined as

$$V = \frac{W_5 - W_c}{\gamma_{d(\text{sand})}} \tag{6}$$

()

where  $W_c$  = weight of sand to fill the cone only  $\gamma_{d(\text{sand})}$  = dry unit weight of Ottawa sand used

The values of  $W_c$  and  $\gamma_{d(sand)}$  are determined from the calibration done in the laboratory dry unit weight of compaction made in the field then can be determined as follows:

$$\gamma_d = \frac{\text{Dry weight of the soil excavated from the hole}}{\text{Volume of the hole}} = \frac{W_3}{V}$$



Hole filled with Ottawa sand

#### Rubber Balloon Method

The procedure for the rubber balloon method is similar to that for the sand cone method; a test hole is made and the moist weight of soil removed from the hole and its moisture content are determined.



# Nuclear Method

It uses a radioactive isotope source. The isotope gives off Gamma rays that radiate back to the meter's detector. Dense soil absorbs more radiation than loose soil.



#### Example 6.3

Moisture content (%)	Dry unit weight (kN/m³)
6	14.80
8	17.45
9	18.52
11	18.9
12	18.5
14	16.9

Laboratory compaction test results for a clayey silt are given in the following table.

Following are the results of a field unit-weight determination test performed on the same soil by means of the sand cone method:

- Calibrated dry density of Ottawa sand = 1570 kg/m<sup>3</sup>
- Calibrated mass of Ottawa sand to fill the cone = 0.545 kg
- Mass of jar + cone + sand (before use) = 7.59 kg
- Mass of jar + cone + sand (after use) = 4.78 kg
- Mass of moist soil from hole = 3.007 kg
- Moisture content of moist soil = 10.2%

#### Determine:

- a. Dry unit weight of compaction in the field
- b. Relative compaction in the field

#### Solution

Part a In the field,

Mass of sand used to fill the hole and cone = 7.59 kg - 4.78 kg = 2.81 kgMass of sand used to fill the hole = 2.81 kg - 0.545 kg = 2.265 kgVolume of the hole  $(V) = \frac{2.265 \text{ kg}}{\text{Dry density of Ottawa sand}}$  $= \frac{2.265 \text{ kg}}{1570 \text{ kg/m}^3} = 0.0014426 \text{ m}^3$ Mass of moist soil Moist density of compacted soil =Volume of hole  $=\frac{3.007}{0.0014426}=2.084.4 \text{ kg/m}^3$ Moist unit weight of compacted soil =  $\frac{(2084.4)(9.81)}{1000}$  = 20.45 kN/m<sup>3</sup> Hence,

$$\gamma_d = \frac{\gamma}{1 + \frac{w(\%)}{100}} = \frac{20.45}{1 + \frac{10.2}{100}} = 18.56 \text{ kN/m}^3$$

#### Part b

The results of the laboratory compaction test are plotted in Figure 6.26. From the plot, we see that  $\gamma_{d(max)} = 19 \text{ kN/m}^3$ . Thus, from Eq. (6.10).



# END